

ABTT-0266/B010130

#5/Pre Amend to
1. NCB ch. 6-02
6/26/02
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PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of:
Beyoumi et al.

Serial No.: 10/040,407

Group Art Unit: 2121

Filed: December 28, 2001

Examiner: To be Assigned

For: **OPTIMIZED DISPATCH PLANNING OF DISTRIBUTED
RESOURCES IN ELECTRICAL POWER SYSTEMS**

DATE OF DEPOSIT: March 26, 2002

I HEREBY CERTIFY THAT THIS PAPER IS
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Susan C. Murphy
TYPED NAME: Susan C. Murphy
REGISTRATION NO.: 46,221

Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Prior to the examination of the above referenced patent application,
Applicants respectfully request that the following amendments be entered, without
prejudice:

In the specification:

Please add the following paragraph at page 1 line 6:

CROSS REFERENCE TO RELATED APPLICATIONS

Q1
This application is related to the following U.S. Patent Applications:
U.S. Patent Application No. 09/752,574, entitled "Communication and Control
Network For Distributed Power Resource Units", filed on December 29, 2001 and

DOCKET NO.: ABTT-0266/B010130

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PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:
Bayoumi, et al.

Serial No.: 10/040,407

Group Art Unit: 2661

Filing Date: December 28, 2001

Examiner: Not yet assigned

For: OPTIMIZED DISPATCH PLANNING OF DISTRIBUTED
RESOURCES IN ELECTRICAL POWER SYSTEMS

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Susan C. Murphy
TYPED NAME: Susan C. Murphy
REGISTRATION NO.: 46,221

Box ☒ NON-FEE

☐ AF

Assistant Commissioner for Patents
Washington DC 20231

Sir:

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AMENDMENT TRANSMITTAL LETTER

Transmitted herewith for filing in the above-identified patent application is:

- ☒ A Preliminary Amendment.
- ☐ An Amendment Responsive to the Office Action Dated _____.
- ☐ An Amendment Supplemental to the Paper filed _____.
- ☐ Other: _____.

- ☐ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
- ☐ an Independent Inventor
 - ☐ a Small Business Concern
 - ☐ a Nonprofit Organization
- ☐ This application is no longer entitled to small entity status. It is requested that this be noted in the files of the Patent and Trademark Office.
- ☐ Substitute Pages _____ of the Specification are enclosed.
- ☐ An Abstract is enclosed.
- ☐ _____ Sheets of Proposed Corrected Drawings are enclosed.
- ☐ A Certified Copy of each of the following applications: _____
_____ is enclosed.
- ☐ An Associate Power of Attorney is enclosed.
- ☐ Information Disclosure Statement.
- ☐ Attached Form 1449.
 - ☐ A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith.
- ☐ Appended Material as follows: _____
- ☒ Other Material as follows: Attached Version With Markings to Show Changes Made .

FEE CALCULATION

☒ No Additional Fee is Due.

	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	SMALL ENTITY		NOT SMALL ENTITY	
				RATE	FEE	RATE	FEE
TOTAL CLAIMS	20	20 (20 MINIMUM)	0	\$9 EACH	\$	\$18 EACH	\$ 00
INDEP. CLAIMS	3	3 (3 MINIMUM)	0	\$42 EACH	\$	\$84 EACH	\$ 00
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$140	\$	\$280	\$ 00
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME				\$55	\$	\$110	\$00
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME				\$200	\$	\$400	\$00
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME				\$460	\$	\$920	\$00
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME				\$720	\$	\$1440	\$00
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME				\$980	\$	\$1960	\$00
<input type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID				minus	(\$)	minus	(\$00)
<input type="checkbox"/> TERMINAL DISCLAIMER				\$55	\$	\$110	\$00
<input type="checkbox"/> OTHER FEE OR SURCHARGE AS FOLLOWS:							
TOTAL FEE DUE							\$00

- ☐ A Check is Enclosed in the Foregoing Amount Due.
- ☐ Petition is hereby made under 37 C.F.R. 1.136(a) to extend the time for response to the Office Action of @@ to and through @@ comprising an extension of the shortened statutory period of @@ month(s).
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit

account 23-3050. This sheet is provided in duplicate.

- ☒ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.
- ☐ The Foregoing Amount Due for Filing this Paper.
- ☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
- ☒ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).

SHOULD ANY DEFICIENCIES APPEAR with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: March 26, 2002

Susan C. Murphy
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Registration No. 46,221

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